

DEFORMATIONS OF REPRESENTATIONS FOR PROFINITE GROUPS

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ABSTRACT. In this talk, we show the existence of a universal deformation ring.

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1. PROFINITE GROUPS AND REPRESENTATIONS

We first recall some basic definitions from [Ser97]. Another reference is [RZ00].

Definition 1.1. *A group G is called a p -group if $|G| = p^r$ for some $r \in \mathbb{N}$.*

Definition 1.2. *Let G be a topological group.*

(i) *We call G a profinite group if*

$$G = \varprojlim_i G_i$$

as topological groups, where G_i are finite groups with discrete topology.

(ii) *Let p be a prime number. If we have*

$$G = \varprojlim_i G_i$$

as topological groups, where G_i are finite groups with cardinality p^{r_i} , $r_i \in \mathbb{N}$ for each i , and discrete topology, then we call G a pro- p group.

Remark 1.3. (i) Closed subgroups $H \subseteq G$ are profinite and G/H is compact and totally disconnected.
(ii) Let L/K be an extension of commutative fields, then $\text{Gal}(L/K)$ is a profinite group.

Definition 1.4. *Let p be a prime number.*

(i) *Let G be a profinite group. If a subgroup $H \subseteq G$ is a pro- p -group and the index $(G : H)$ is prime to p , then we call H a Sylow p -subgroup of G .*

(ii) *Let G be a profinite group, G' a subgroup. Then a pro- p quotient of G' is a group of the form G'/H , which is a pro- p group. We call G'/H a maximal pro- p quotient if G'/H is the largest possible quotient that is a pro- p group.*

We would like to further more define the profinite completion and pro- p completion of a group.

Definition 1.5. *Let G be a group.*

(i) *The profinite completion of G is defined as*

$$\hat{G} = \varprojlim_{\substack{N \triangleleft G \\ G/N \text{ finite}}} G/N$$

(ii) The pro- p completion of G is defined as

$$G_{\hat{p}} = \varprojlim_{\substack{N \triangleleft G \\ G/N \text{ is a } p\text{-group}}} G/N$$

Example 1.6. (i) A profinite completion of \mathbb{Z} is

$$\hat{\mathbb{Z}} = \varprojlim_{n \in \mathbb{Z}} \mathbb{Z}/n\mathbb{Z}$$

(ii) A pro- p completion of \mathbb{Z} is the p -adic integers

$$\mathbb{Z}_p = \varprojlim_n \mathbb{Z}/p^n\mathbb{Z}$$

(iii) Now consider the multiplicative subgroup $\mathbb{Z}_p^* \subseteq \mathbb{Z}_p$. We have the identification

$$\mathbb{Z}_p^* = \varprojlim_n (\mathbb{Z}/p^n\mathbb{Z})^\times$$

This is a profinite group but not a pro- p group. In fact, for $p \neq 2$, we have $\mathbb{Z}_p^* = \mu_{p-1} \times \mathbb{Z}_p$, and $\mathbb{Z}_2^* = \{\pm 1\} \times \mathbb{Z}_2$. Thus it has a pro- p subgroup.

(iv) $GL_n(\mathbb{Z}_p)$ is a profinite group but not a pro- p group. However, the congruence subgroup

$$\Gamma_1 := \{A \in GL_n(\mathbb{Z}_p) \mid A \equiv \text{Id} \pmod{p}\}$$

is a pro- p group.

Remark 1.7. Let G be a profinite group. When we say a representation $\rho : G \rightarrow GL(V)$ is continuous, we mean that it is continuous with respect to the profinite topology on G and the discrete topology on $GL(V)$. This implies that ρ being continuous means $\text{stab}(v) = \{g \in G \mid \rho(g)v = v\}$ is open in G for all $v \in V$.

2. FINITENESS CONDITION Φ_p FOR PROFINITE GROUPS

Fix p a prime number.

Definition 2.1. A profinite group G satisfies the finiteness condition Φ_p if the following equivalent conditions hold for all $G_0 \subseteq G$ open subgroups of finite index.

- (i) The maximal pro- p quotient of G_0 is topologically finitely generated.
- (ii) The set of continuous group homomorphisms $\text{Hom}(G_0, \mathbb{F}_p)$ is a finite dimensional \mathbb{F}_p -vector space.

Remark 2.2. A topological group G is topologically finitely generated means that G has a dense subgroup that is finitely generated. The equivalence of the conditions follows from Burnside basis theorem. Also note that a finite dimensional \mathbb{F}_p -vector space has finite cardinality.

Example 2.3. (i) Let K/\mathbb{Q}_p finite, then $\text{Gal}(\bar{K}/K)$ satisfies Φ_q for all primes q .

- (ii) Let F/\mathbb{Q} finite, so F is a number field. Let S be a finite set of places in F . Let F_S be the maximal extension of F that is unramified outside of S in an algebraic closure \bar{F} of F . Then $\text{Gal}(F_S/F)$ satisfies Φ_p for all p .

Proof. (i) Let K/\mathbb{Q}_p be a finite extension of degree d and its residue field k has cardinality q a power of p . Since $\text{Hom}(G_0, \mathbb{F}_p) \cong \text{Hom}(G_0^{\text{ab}}, \mathbb{F}_p)$, we may check this on K^{ab}/K , as the maximal abelian subgroup of $\text{Gal}(\bar{K}/K)$ is isomorphic to $\text{Gal}(K^{\text{ab}}/K)$. By local class field theory, we have

$$\text{Gal}(K^{\text{ab}}/K) \xrightarrow{\sim} \mathcal{O}_K^\times \times \hat{\mathbb{Z}} \xrightarrow{\sim} \mu_{q-1} \times \mu_{p^a} \times \mathbb{Z}_p^d \times \prod_{\ell \text{ prime}} \mathbb{Z}_\ell \xrightarrow{\sim} \mu_{q-1} \times \mu_{p^a} \times \mathbb{Z}_p^{d+1} \times \prod_{\ell \neq p} \mathbb{Z}_\ell$$

where μ_{p^a} is the subgroup of all roots of unity in K . Now take a finite index open subgroup $G_0 \subseteq \text{Gal}(K^{\text{ab}}/K)$. Since G_0 is open, it contains a subgroup H_0 of the form

$$H_0 = p^{r_1}\mathbb{Z}_p \times \cdots \times p^{r_{d+1}}\mathbb{Z}_p \times \prod_{\ell \neq p} \ell^{s_\ell}\mathbb{Z}_\ell$$

Now let $f : G_0 \rightarrow \mathbb{F}_p$ be any continuous group homomorphism. Since $p^{r_i}\mathbb{Z}_p$ and $\ell^{s_\ell}\mathbb{Z}_\ell$ are neighborhood of zero in \mathbb{Z}_p and \mathbb{Z}_ℓ respectively, we must have $f(H_0) = 0$. Hence every continuous group homomorphism $f \in \text{Hom}(G_0, \mathbb{F}_p)$ factorise through G_0/H_0 . Since for $\ell \neq p$, there is no

nontrivial group homomorphism between $\mathbb{Z}/\ell^{s_\ell}\mathbb{Z}$ and \mathbb{F}_p , we know that $f \in \text{Hom}(G_0, \mathbb{F}_p)$ are zero on the subgroup

$$H = p^{r_1}\mathbb{Z}_p \times \cdots \times p^{r_{d+1}}\mathbb{Z}_p \times \prod_{\ell \neq p} \mathbb{Z}_\ell$$

We also know that G_0 has finite index in $\text{Gal}(K^{\text{ab}}/K)$, so H has finite index in G_0 . Therefore every continuous group homomorphism $f \in \text{Hom}(G_0, \mathbb{F}_p)$ factorise a finite group G_0/H , and hence $\text{Hom}(G_0, \mathbb{F}_p)$ is a finite dimensional \mathbb{F}_q -vector space.

(ii) The number field case uses global class field theory

$$\widehat{\mathbb{I}_K/K^\times} \xrightarrow{\sim} \text{Gal}(K^{\text{ab}}/K)$$

We leave this as an exercise. □

3. CATEGORY OF DEFORMATIONS OF GALOIS REPRESENTATIONS

The references for the following sections are [Böc13], [Lee], [Maz89], and [Kis]. Fix a prime p and a finite field \mathbb{F} with characteristic p . For example, \mathbb{F}_q with $q = p^r$ for some positive integer r . Then the ring of Witt vectors $W(\mathbb{F})$ is the ring of integers \mathcal{O}_K for a finite extension K/\mathbb{Q}_p with degree r (K is in fact unique).

Let G be a profinite group, $V_{\mathbb{F}}$ a finite dimensional \mathbb{F} -vector space with a continuous G -action. Recall that this means that the stabiliser $\text{stab}(v)$ for each $v \in V_{\mathbb{F}}$ is an open subgroup in G .

Let $\mathfrak{A}_{W(\mathbb{F})}$ be the category of finite local Artinian $W(\mathbb{F})$ -algebras with residue field \mathbb{F} .

Definition 3.1. *Let $A \in \mathfrak{A}_{W(\mathbb{F})}$ and G be a profinite group. Let $V_{\mathbb{F}}$ be a finite dimensional \mathbb{F} -vector space with a continuous G -action.*

(i) *A deformation of $V_{\mathbb{F}}$ to A is the datum (V_A, ι) , where*

- V_A is a free A -module with a continuous G -action,
- $\iota : V_A \otimes_A \mathbb{F} \xrightarrow{\sim} V_{\mathbb{F}}$ is a G -equivariant isomorphism of \mathbb{F} -vector spaces.

(ii) *Fix a \mathbb{F} -basis β of $V_{\mathbb{F}}$, a framed deformation of $(V_{\mathbb{F}}, \beta)$ to A is the datum (V_A, ι, β_A) , such that*

- V_A is a free A -module with a continuous G -action,
- $\iota : V_A \otimes_A \mathbb{F} \xrightarrow{\sim} V_{\mathbb{F}}$ is a G -equivariant isomorphism of \mathbb{F} -vector spaces.
- β_A is a A -basis of V_A such that $\iota(\beta_A) = \beta$.

Naturally we may define a functor from $\mathfrak{A}_{W(\mathbb{F})}$ to the category of isomorphism classes of deformations (resp. framed deformations) of $V_{\mathbb{F}}$ (resp. $(V_{\mathbb{F}}, \beta)$).

Definition 3.2. (i) *Given $V_{\mathbb{F}}$, we have*

$$D_{V_{\mathbb{F}}}(A) := \{\text{isomorphism classes of deformations of } V_{\mathbb{F}} \text{ to } A\}$$

(ii) *Given $(V_{\mathbb{F}}, \beta)$, we have*

$$D_{V_{\mathbb{F}}}^{\square}(A) := \{\text{isomorphism classes of framed deformations of } (V_{\mathbb{F}}, \beta) \text{ to } A\}$$

Remark 3.3. Let $\bar{\rho} : G \rightarrow GL_n(\mathbb{F})$ be a continuous representation of a profinite group G , then a framed deformation of $\bar{\rho}$ is of the form $\rho : G \rightarrow GL_n(A)$. We also have that

$$D_{\bar{\rho}}(A) = D_{\bar{\rho}}^{\square}(A) / \ker(GL_n(A) \rightarrow GL_n(\mathbb{F}))$$

as sets, where $\ker(GL_n(A) \rightarrow GL_n(\mathbb{F}))$ acts on $D_{\bar{\rho}}(A)$ by conjugation.

Proposition 3.4. *Let p be a prime number and let G be a profinite group that satisfies the finiteness condition Φ_p . Let $V_{\mathbb{F}}$ be a finite dimensional \mathbb{F} -vector space with a continuous G -action.*

(i) *The functor $D_{V_{\mathbb{F}}}^{\square}$ is pro-representable by a complete local Noetherian $W(\mathbb{F})$ -algebra $R_{V_{\mathbb{F}}}^{\square}$. Namely, for all $A \in \mathfrak{A}_{W(\mathbb{F})}$, there exists an isomorphism*

$$D_{V_{\mathbb{F}}}^{\square}(A) \xrightarrow{\sim} \text{Hom}_{W(\mathbb{F})}(R_{V_{\mathbb{F}}}^{\square}, A)$$

functorial in A .

(ii) *If $\text{End}_{\mathbb{F}[G]}(V_{\mathbb{F}}) = \mathbb{F}$, then $D_{V_{\mathbb{F}}}$ is pro-representable by a complete local Noetherian $W(\mathbb{F})$ -algebra $R_{V_{\mathbb{F}}}$.*

Definition 3.5. We call such $R_{V_{\mathbb{F}}}^{\square}$ the universal framed deformation ring and $R_{V_{\mathbb{F}}}$ the universal deformation ring for $V_{\mathbb{F}}$.

Remark 3.6. (i) The proposition for framed deformations holds when G doesn't satisfy Φ_p , but $R_{V_{\mathbb{F}}}^{\square}$ might not be noetherian.

(ii) When a finite dimensional G -representation $V_{\mathbb{F}}$ over \mathbb{F} satisfies $\text{End}_{\mathbb{F}[G]}(V_{\mathbb{F}}) = \mathbb{F}$, we call $V_{\mathbb{F}}$ Schur. Schur's lemma says that if a finite dimensional G -representation over \mathbb{F} is absolutely irreducible (remains irreducible after base change to the algebraic closure of \mathbb{F}), then it is Schur.

Proof of Proposition 3.4 (i). Let $\dim_{\mathbb{F}} V_{\mathbb{F}} = n$, so we can write $V_{\mathbb{F}}$ as the continuous G -representation $\bar{\rho} : G \rightarrow GL_n(\mathbb{F})$. Since G is a profinite group, we may write $G = \varprojlim_{\alpha} G/H_{\alpha}$, where H_{α} are open normal subgroups of G with finite index and $H_{\alpha} \subseteq \ker(\bar{\rho})$. Now for each α , G/H_{α} is a finite group and has a group representation of the form $\langle g_1, \dots, g_s | r_1(g_1, \dots, g_s), \dots, r_t(g_1, \dots, g_s) \rangle$. We define the following $W(\mathbb{F})$ -algebra:

$$\mathcal{R} := W(\mathbb{F}) \left[X_k^{i,j} \mid k = 1, \dots, s, i, j = 1, \dots, n \right] / \mathcal{I}$$

where $\mathcal{I} := (r_1(X_1, \dots, X_s), \dots, r_t(X_1, \dots, X_s))$ is the ideal generated by the relations. We have the reduction map defined by

$$\begin{aligned} \mathcal{R} &\longrightarrow \mathbb{F} \\ X_k^{i,j} &\longmapsto (i, j) \text{ - th entry of } \bar{\rho}(g_k) \end{aligned}$$

Let $\mathcal{J} := \ker(\mathcal{R} \rightarrow \mathbb{F})$ be the kernel and we may take the \mathcal{J} -adic completion of \mathcal{R} . Define $R_{\alpha}^{\square} := \widehat{\mathcal{R}}^{\mathcal{J}}$. Let the matrix \mathbf{X}_k be the image of X_k in $GL_n(R_i^{\square})$. Then there exists a unique representation ρ_{α}^{\square} defined by the following:

$$\begin{aligned} \rho_{\alpha}^{\square} : G/H_{\alpha} &\longrightarrow GL_n(R_{\alpha}^{\square}) \\ g_k &\longmapsto \mathbf{X}_k \end{aligned}$$

In particular, for each framed deformation $\rho_A : G \rightarrow GL_n(A)$ together with its restriction $\rho_A^{\alpha} : G/H_{\alpha} \rightarrow GL_n(A)$ to G/H_{α} , there exists a unique map $\phi : R_{\alpha}^{\square} \rightarrow A$

$$\begin{aligned} \phi : GL(R_{\alpha}^{\square}) &\longrightarrow GL(A) \\ X_k &\longmapsto \rho_A^{\alpha}(g_k) \end{aligned}$$

such that $\rho_A^{\alpha} = \phi \circ \rho_{\alpha}^{\square}$. Thus the pair $(R_i^{\square}, \rho_i^{\square})$ is universal for all i . By the profinite structure of G , we already have an inverse system determined by the index set I of i 's. Thus we may take the projective limit

$$(R_{V_{\mathbb{F}}}^{\square}, \rho_{V_{\mathbb{F}}}^{\square}) := \varprojlim_i (R_i^{\square}, \rho_i^{\square})$$

It is clear that $(R_{V_{\mathbb{F}}}^{\square}, \rho_{V_{\mathbb{F}}}^{\square})$ satisfies the desired universal properties. We are left to show that $R_{V_{\mathbb{F}}}^{\square}$ is Noetherian. By construction, $R_{V_{\mathbb{F}}}^{\square}$ is a complete local ring. Denote its maximal ideal by \mathfrak{m} . It suffices to show that $\mathfrak{m}/(\mathfrak{m}^2, p)$ is a finite dimensional \mathbb{F} -vector space. \square

We postpone the proof for (ii) until Section 6.

4. THE TANGENT SPACE

Let $\mathbb{F}[\epsilon] = \mathbb{F}[X]/(X^2)$ be the ring of dual numbers over \mathbb{F} .

Definition 4.1. We call $D_{V_{\mathbb{F}}}(\mathbb{F}[\epsilon])$ the Zariski tangent space of $D_{V_{\mathbb{F}}}^{\square}$. Similarly, we call $D_{V_{\mathbb{F}}}^{\square}(\mathbb{F}[\epsilon])$ the Zariski tangent space of $D_{V_{\mathbb{F}}}^{\square}$.

Remark 4.2. By representability from Proposition 3.4, we have an isomorphism of \mathbb{F} -vector spaces

$$D_{V_{\mathbb{F}}}(\mathbb{F}[\epsilon]) = \text{Hom}_{W(\mathbb{F})}(R_{V_{\mathbb{F}}}, \mathbb{F}[\epsilon]) \xrightarrow{\sim} \text{Hom}_{\mathbb{F}}(\mathfrak{m}_R / (p, \mathfrak{m}_R^2), \mathbb{F}) = t_{R_{V_{\mathbb{F}}}}$$

where $t_{R_{V_{\mathbb{F}}}}$ is the tangent space of the local ring $R_{V_{\mathbb{F}}}$.

Lemma 4.3. Denote the G -representation $\text{End}_{\mathbb{F}} V_{\mathbb{F}}$ by $\text{ad}V_{\mathbb{F}}$.

(i) There exists a canonical isomorphism

$$D_{V_{\mathbb{F}}}(\mathbb{F}[\epsilon]) \xrightarrow{\sim} H^1(G, \text{ad}V_{\mathbb{F}})$$

(ii) If G satisfies Φ_p , then $D_{V_{\mathbb{F}}}(\mathbb{F}[\epsilon])$ is a finite dimensional \mathbb{F} -vector space.

(iii) $\dim_{\mathbb{F}} D_{V_{\mathbb{F}}}^{\square}(\mathbb{F}[\epsilon]) = \dim_{\mathbb{F}} D_{V_{\mathbb{F}}}(\mathbb{F}[\epsilon]) + n^2 - h^0(G, \text{ad}V_{\mathbb{F}})$

Proof. (i) Let $W_{\mathbb{F}}$ be any \mathbb{F} -module with G -action, then we have isomorphisms functorial in $W_{\mathbb{F}}$

$$\mathrm{End}_{\mathbb{F}}(W_{\mathbb{F}})^G \xrightarrow{\sim} \mathrm{Hom}_{\mathbb{F}[G]}(W_{\mathbb{F}}, W_{\mathbb{F}})$$

Take the first derived functors of $\mathrm{End}_{\mathbb{F}}(-)^G$ and $\mathrm{Hom}_{\mathbb{F}[G]}(-, -)$ and we obtain an isomorphism

$$\mathrm{Ext}_{\mathbb{F}[G]}^1(V_{\mathbb{F}}, V_{\mathbb{F}}) \xrightarrow{\sim} H^1(G, \mathrm{ad}V_{\mathbb{F}})$$

Take $V_{\mathbb{F}[\epsilon]} \in D_{V_{\mathbb{F}}}(\mathbb{F}[\epsilon])$. Since $V_{\mathbb{F}[\epsilon]}/\epsilon V_{\mathbb{F}[\epsilon]} \cong V_{\mathbb{F}}$, we have an exact sequence

$$0 \longrightarrow \epsilon V_{\mathbb{F}[\epsilon]} \longrightarrow V_{\mathbb{F}[\epsilon]} \longrightarrow V_{\mathbb{F}} \longrightarrow 0$$

Via $\epsilon \mapsto 1$ we have the identification $\epsilon V_{\mathbb{F}[\epsilon]} \cong V_{\mathbb{F}}$. Thus $V_{\mathbb{F}[\epsilon]}$ is an extension of $V_{\mathbb{F}}$ by $V_{\mathbb{F}}$, and $V_{\mathbb{F}[\epsilon]} \in \mathrm{Ext}_{\mathbb{F}[G]}^1(V_{\mathbb{F}}, V_{\mathbb{F}}) \cong H^1(G, \mathrm{ad}V_{\mathbb{F}})$. Conversely, take $E \in \mathrm{Ext}_{\mathbb{F}[G]}^1(V_{\mathbb{F}}, V_{\mathbb{F}}) \cong H^1(G, \mathrm{ad}V_{\mathbb{F}})$, we have an exact sequence equivariant under continuous G -action:

$$0 \longrightarrow V_{\mathbb{F}} \longrightarrow E \longrightarrow V_{\mathbb{F}} \longrightarrow 0$$

Identify $V_{\mathbb{F}}$ on the left with $\epsilon V_{\mathbb{F}[\epsilon]}$ via $1 \mapsto \epsilon$. Thus E is a $\mathbb{F}[\epsilon]$ -module with continuous G -action, that reduce to $V_{\mathbb{F}}$ by the map on the right. Hence $E \in D_{V_{\mathbb{F}}}(\mathbb{F}[\epsilon])$.

(ii) Let G be a profinite group that satisfies Φ_p , and fix $\bar{\rho} : G \rightarrow GL(V_{\mathbb{F}})$. Take $G' = \ker(\bar{\rho})$, which is an open subgroup in G with finite index.

Let $r : GL(V_{\mathbb{F}[\epsilon]}) \rightarrow GL(V_{\mathbb{F}})$ be the reduction morphism. Notice that $\ker(r)$ is a pro- p group. Let $(V_{\mathbb{F}[\epsilon]}, \rho_{\mathbb{F}[\epsilon]})$ be a deformation of $\bar{\rho}$. Then $\bar{\rho} = r \circ \rho_{\mathbb{F}[\epsilon]}$ and similarly for their restrictions to G' . Since $\mathrm{Im}(\rho_{\mathbb{F}[\epsilon]}|_{G'}) \subseteq \ker(r)$, we know that $G'/\ker(\rho_{\mathbb{F}[\epsilon]}|_{G'})$ is a pro- p group. Then there exists a maximal pro- p quotient G'/H of G' , through which $\rho_{\mathbb{F}[\epsilon]}|_{G'}$ factorizes.

Since G satisfies Φ_p , G'/H is topologically finitely generated. Thus G/H is topologically finitely generated. We know that all deformations $\rho_{\mathbb{F}[\epsilon]}$ factorizes through G/H , so we may conclude that $D_{V_{\mathbb{F}}}(\mathbb{F}[\epsilon])$ is a finite dimensional \mathbb{F} -vector space.

An alternate proof for this uses the inflation-restriction exact sequence

$$0 \rightarrow H^1(G/G', \mathrm{ad}V_{\mathbb{F}}^{G'}) \rightarrow H^1(G, \mathrm{ad}V_{\mathbb{F}}) \rightarrow H^1(G', \mathrm{ad}V_{\mathbb{F}})^{G/G'} \rightarrow \dots$$

Now $H^1(G/G', \mathrm{ad}V_{\mathbb{F}}^{G'})$ is clearly a finite dimensional \mathbb{F}_p -vector space, and $H^1(G', \mathrm{ad}V_{\mathbb{F}})^{G/G'}$ is finite dimensional due to G satisfying Φ_p . Here we use the equivalent condition that $\mathrm{Hom}(G', \mathbb{F}_p)$ is a finite dimensional \mathbb{F}_p -vector space.

(iii) Fix $V_{\mathbb{F}}$ with a fixed basis and a deformation $V_{\mathbb{F}[\epsilon]}$. The set of $\mathbb{F}[\epsilon]$ -basis lifting the basis of $V_{\mathbb{F}}$ has \mathbb{F} -dimension n^2 . Let β, β' be two bases of $V_{\mathbb{F}[\epsilon]}$ lifting the fixed basis of $V_{\mathbb{F}}$. Compare them on the short exact sequence

$$0 \rightarrow \epsilon V_{\mathbb{F}[\epsilon]} \rightarrow V_{\mathbb{F}[\epsilon]} \rightarrow V_{\mathbb{F}} \rightarrow 0$$

Let $\iota : (V_{\mathbb{F}[\epsilon]}, \beta) \rightarrow (V_{\mathbb{F}[\epsilon]}, \beta')$. Then $\iota \bmod \epsilon$ is the identity in $\mathrm{ad}V_{\mathbb{F}}$, and $\iota(\beta) \cong \beta'$ corresponds to elements in $(\mathrm{ad}V_{\mathbb{F}})^G$. Therefore, $\dim_{\mathbb{F}} D_{V_{\mathbb{F}}}^{\square}(\mathbb{F}[\epsilon]) = \dim_{\mathbb{F}} D_{V_{\mathbb{F}}}(\mathbb{F}[\epsilon]) + n^2 - h^0(G, \mathrm{ad}V_{\mathbb{F}})$. Furthermore, we may conclude that fibres of

$$D_{V_{\mathbb{F}}}^{\square}(\mathbb{F}[\epsilon]) \longrightarrow D_{V_{\mathbb{F}}}(\mathbb{F}[\epsilon])$$

are $\mathrm{ad}V_{\mathbb{F}}/(\mathrm{ad}V_{\mathbb{F}})^G$ -torsors. □

Corollary 4.4. *Lemma 4.3 (ii) shows that in Proposition 3.4, $R_{V_{\mathbb{F}}}$ and $R_{V_{\mathbb{F}}}^{\square}$ are Noetherian.*

5. TRACES

Theorem 5.1 (Mazur '87, Carayol '91). *Suppose that $V_{\mathbb{F}}$ is absolutely irreducible and $A \in \mathfrak{A}_{W(\mathbb{F})}$. Let V_A and V'_A be two deformations such that*

$$\mathrm{tr}(\sigma|V_A) = \mathrm{tr}(\sigma|V'_A)$$

for all $\sigma \in G$. Then V_A and V'_A are isomorphic deformations.

6. REPRESENTABILITY REVISITED

Here we present the proof of Proposition 3.4 (ii) by Mark Kisin as in [Kis, §3]. See [Kis09, Appendix A] for more details on the language of groupoids.

Recall that $\mathfrak{A}_{W(\mathbb{F})}$ is the category of finite local Artinian $W(\mathbb{F})$ -algebras with residue field \mathbb{F} .

Definition 6.1. We define $D_{V_{\mathbb{F}}}$ as a groupoid over $\mathfrak{A}_{W(\mathbb{F})}$ as follows.

- (i) *Objects:* For all $A \in \mathfrak{A}_{W(\mathbb{F})}$, $D_{V_{\mathbb{F}}}(A)$ is a category whose objects are pairs (V_A, ι) such that
- V_A is a free A -module with a continuous G -action,
 - $\iota : V_{\mathbb{F}} \xrightarrow{\sim} V_A \otimes_A \mathbb{F}$ is a G -equivariant isomorphism of \mathbb{F} -vector spaces.

The morphisms in $D_{V_{\mathbb{F}}}(A)$ are A -linear isomorphisms compatible with the G -action and the datum (V_A, ι_A) .

- (ii) Let $A \rightarrow A'$ be a morphism in $\mathfrak{A}_{W(\mathbb{F})}$. A cover $(V_A, \iota_A) \rightarrow (V_{A'}, \iota_{A'})$ of $A \rightarrow A'$ in the 2-category $D_{V_{\mathbb{F}}}$ consists of an equivalence class $[\alpha]$, where $[\alpha] : V_A \otimes_A A' \xrightarrow{\sim} V_{A'}$ is an A' -linear isomorphism, compatible with the G -action as well as the datum (V_A, ι_A) and $(V_{A'}, \iota_{A'})$. Two morphisms $[\alpha], [\alpha'] : V_A \otimes_A A' \xrightarrow{\sim} V_{A'}$ are equivalent if they differ by multiplying an element of A'^{\times} .

Now let $\widehat{\mathfrak{A}}_{W(\mathbb{F})}$ be the category of complete local Noetherian $W(\mathbb{F})$ -algebras. The opposite category $(\widehat{\mathfrak{A}}_{W(\mathbb{F})})^{\circ}$ is then equivalent to the category of formal spectra of $W(\mathbb{F})$ -algebras.

Definition 6.2. An equivalence relation $R \rightrightarrows X$ in $(\widehat{\mathfrak{A}}_{W(\mathbb{F})})^{\circ}$ consists of a pair of morphisms $R \rightarrow X$ and $R \rightarrow X$ such that

- (i) $R \rightarrow X \times X$ is a closed embedding,
(ii) For all $T \in \widehat{\mathfrak{A}}_{W(\mathbb{F})}$, $R(T) \subseteq (X \times X)(T)$ is an equivalence relation.

Consider the $W(\mathbb{F})$ -group scheme PGL_n . Let $\mathrm{id} : \mathrm{PGL}_n \rightarrow \mathrm{Spec} \mathbb{F}$ be the composition of the reduction map $\mathrm{Spec} W(\mathbb{F}) \rightarrow \mathrm{Spec} \mathbb{F}$ and the identity section of the counit map $e : \mathrm{Spec} W(\mathbb{F}) \rightarrow \mathrm{PGL}_n$. Now $\ker(\mathrm{id})$ is a closed subscheme of PGL_n . Thus we may take the formal completion of the $\mathrm{PGL}_n/W(\mathbb{F})$ along $\ker(\mathrm{id})$. Denote this formal completion by $\widehat{\mathrm{PGL}}_n$, and this is a formal $W(\mathbb{F})$ -scheme. Thus $\widehat{\mathrm{PGL}}_n$ is a group object in the category $(\widehat{\mathfrak{A}}_{W(\mathbb{F})})^{\circ}$.

Recall from Proposition 3.4 (i) that $D_{V_{\mathbb{F}}}^{\square}$ is representable by $R_{V_{\mathbb{F}}}^{\square}$. Take the formal spectrum $X_{V_{\mathbb{F}}} := \mathrm{Spf} R_{V_{\mathbb{F}}}^{\square}$, and so $D_{V_{\mathbb{F}}}^{\square}$ is representable by $X_{V_{\mathbb{F}}}$.

Proposition 6.3 (Proposition 3.4). (ii) If $\mathrm{End}_{\mathbb{F}[G]}(V_{\mathbb{F}}) = \mathbb{F}$, then $D_{V_{\mathbb{F}}}$ is pro-representable by a complete local Noetherian $W(\mathbb{F})$ -algebra $R_{V_{\mathbb{F}}}$.

Proof of Proposition 3.4 (ii). For $A \in \mathfrak{A}_{W(\mathbb{F})}$, $\widehat{\mathrm{PGL}}_n(A)$ acts on each deformation V_A via conjugation. This action is functorial, and so we have a $\widehat{\mathrm{PGL}}_n$ -action on $X_{V_{\mathbb{F}}}$. We would like to construct the quotient $X_{V_{\mathbb{F}}}/\widehat{\mathrm{PGL}}_n$. To start, we want to consider the equivalence relation on $X_{V_{\mathbb{F}}}$ coming from the $\widehat{\mathrm{PGL}}_n$ -action. We have an equivalence relation in $(\widehat{\mathfrak{A}}_{W(\mathbb{F})})^{\circ}$:

$$\begin{array}{ccc} X_{V_{\mathbb{F}}} \times \widehat{\mathrm{PGL}}_n & \rightrightarrows & X_{V_{\mathbb{F}}} \\ (x, g) & \mapsto & (x, gx) \end{array}$$

Indeed, by assumption $\mathrm{End}_{\mathbb{F}[G]}(V_{\mathbb{F}}) = \mathbb{F}$, $\widehat{\mathrm{PGL}}_n$ acts freely on $X_{V_{\mathbb{F}}}$. Thus the induced map

$$\begin{array}{ccc} X_{V_{\mathbb{F}}} \times \widehat{\mathrm{PGL}}_n & \longrightarrow & X_{V_{\mathbb{F}}} \times X_{V_{\mathbb{F}}} \\ (x, g) & \mapsto & (x, gx) \end{array}$$

is a closed immersion. Then we apply the theorem from [SGA3, VIIb, Thm 1.4] to the equivalence relation $\widehat{\mathrm{PGL}}_n \times X_{V_{\mathbb{F}}} \rightrightarrows X_{V_{\mathbb{F}}}$. We formulate it for our specific case as below.

Theorem 6.4. Let $R \xrightarrow[d_1]{d_0} X_{V_{\mathbb{F}}}$ be an equivalence relation in $(\widehat{\mathfrak{A}}_{W(\mathbb{F})})^{\circ}$ such that d_0 is flat. Then the quotient $X_{V_{\mathbb{F}}}/R$ exists in $(\widehat{\mathfrak{A}}_{W(\mathbb{F})})^{\circ}$, and the canonical projection of $X_{V_{\mathbb{F}}}$ on $X_{V_{\mathbb{F}}}/R = \mathrm{Coker}(d_0, d_1)$ is surjective and flat. The morphism $R \rightarrow X_{V_{\mathbb{F}}} \times_{X_{V_{\mathbb{F}}}/R} X_{V_{\mathbb{F}}}$ induced by d_0 and d_1 is an isomorphism.

Now we have obtained a quotient $X_{V_{\mathbb{F}}}/\widehat{PGL}_n$ in $(\widehat{\mathfrak{UR}}_{W(\mathbb{F})})^\circ$, which is the formal spectrum of a ring $R_{V_{\mathbb{F}}} \in \widehat{\mathfrak{UR}}_{W(\mathbb{F})}$. Now it follows from the construction of the quotient that for all $A \in \mathfrak{UR}_{W(\mathbb{F})}$, the category $D_{V_{\mathbb{F}}}(A)$ is determined by the functors $D_{V_{\mathbb{F}}}(R_{V_{\mathbb{F}}}) \rightarrow D_{V_{\mathbb{F}}}(A)$. Thus the groupoid $D_{V_{\mathbb{F}}}$ is representable by $R_{V_{\mathbb{F}}}$. \square

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