

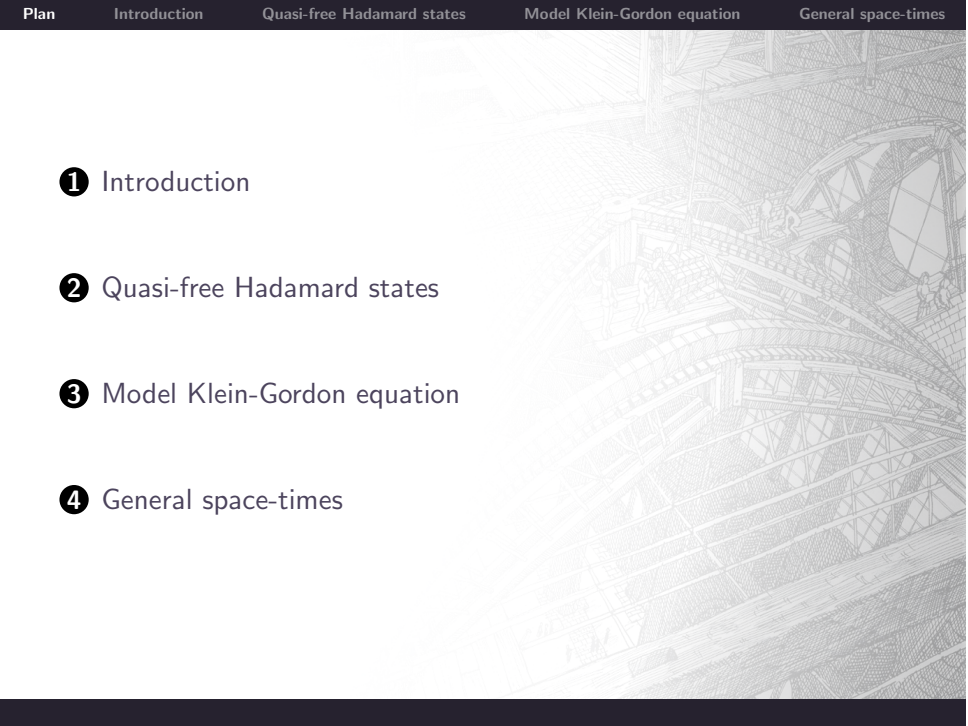
Construction of Hadamard states by pseudo-differential calculus

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joint work with Christian Gérard

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- ➊ Introduction
 - ➋ Quasi-free Hadamard states
 - ➌ Model Klein-Gordon equation
 - ➍ General space-times

Physical states for QFT on curved space-times

- On general curved space-times, no notion of **vacuum state**.
- Substitute for vacuum state: **Hadamard states**, characterized by the singularity structure of their two-point functions [Kay, Wald, etc. '70-'80];
- Most important property: **quantum stress-energy tensor** can be renormalized w.r.t. a Hadamard state;
- Since [Radzikowski '96], **Hadamard condition** formulated in terms of **wave front set**.
- Problem: few examples of Hadamard states have been constructed.

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Overview

- We reconsider the construction of Hadamard states on space-times with metric well-behaved at spatial infinity;
- Working on a fixed Cauchy surface, we can use rather standard **pseudo-differential analysis**.
- We construct a large class of Hadamard states with Ψ DO two-point functions, in particular **all pure Hadamard states**.
- We give a new construction of Hadamard states on **general globally hyperbolic space-times**.

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Quasi-free states: neutral case

Let (\mathcal{X}, σ) be a symplectic space and \mathcal{A} its Weyl CCR C^* -algebra, generated by elements $W(f)$, $f \in \mathcal{X}$, with

$$W(f)^* = W(-f), \quad W(f)W(g) = e^{-i\sigma(f,g)/2} W(f+g), \quad f, g \in \mathcal{X}.$$

A state ω on \mathcal{A} is **quasi-free** if there is a symmetric form η s.t.

$$\omega(W(f)) = e^{-\frac{1}{2}\eta(f,f)}, \quad f \in \mathcal{X}.$$

- A symmetric form η on \mathcal{X} defines a quasi-free state iff the **two-point function** $\lambda = \eta_{\mathbb{C}} + \frac{i}{2}\sigma_{\mathbb{C}}$ satisfies $\lambda \geq 0$.
- A symmetric form λ on $\mathbb{C}\mathcal{X}$ is the two-point function of a quasi-free state iff $\lambda \geq 0$ and $\lambda \geq i\sigma_{\mathbb{C}}$.

The **field operators** $\phi(f)$ in the GNS rep. of ω satisfy

$$[\phi(f), \phi(g)] = i\sigma(f, g), \quad \omega(\phi(f)\phi(g)) = \lambda_{\mathbb{R}}(f, g).$$

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Quasi-free states: charged case

Let (\mathcal{Y}, σ) be a **complex symplectic space** (with some complex structure j) and \mathcal{A} the Weyl CCR C^* -algebra of $(\mathcal{Y}_{\mathbb{R}}, \operatorname{Re}\sigma)$.

A quasi-free state ω on \mathcal{A} is **gauge-invariant** if

$$\omega(W(y)) = \omega(W(e^{j\theta}y)), \quad 0 \leq \theta < 2\pi, \quad y \in \mathcal{Y}.$$

Let $\phi(y)$ be the ('neutral') field operators in the GNS rep. of ω .

The **charged fields**:

$$\psi(y) := \frac{1}{\sqrt{2}}(\phi(y) + i\phi(jy)), \quad \psi^*(y) := \frac{1}{\sqrt{2}}(\phi(y) - i\phi(jy))$$

$$\begin{aligned} [\psi(y_1), \psi^*(y_2)] &= i\sigma(y_1, y_2), \quad \omega(\psi(y_1)\psi^*(y_2)) =: \lambda(y_1, y_2) \\ &\Rightarrow \lambda(y_1, y_2) - i\sigma(y_1, y_2) = \omega(\psi^*(y_2)\psi(y_1)) \end{aligned}$$

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Quasi-free states: unitary group

Let (\mathcal{Y}, σ) be a complex symplectic space and \mathcal{A} the Weyl CCR C^* -algebra. The **unitary group**:

$$U(\mathcal{Y}, i\sigma) = \{u : u^* \sigma u = \sigma\}.$$

Recall that λ is the two-point function of a gauge-invariant quasi-free state on \mathcal{A} iff

$$(\text{Pos}) \quad \lambda \geq 0 \text{ and } \lambda \geq i\sigma.$$

- If λ satisfies (Pos) then so does $u^* \lambda u$ for any $u \in U(\mathcal{Y}, i\sigma)$.
- If λ_1 and λ_2 are two-point functions of pure states, then there exists $u \in U(\mathcal{Y}, i\sigma)$ such that $\lambda_2 = u^* \lambda_1 u$.

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Klein-Gordon equations

Consider a globally hyperbolic space-time $(M, g_{\mu\nu} dx^\mu dx^\nu)$.
We fix a smooth vector potential $A_\mu(x) dx^\mu$ and a mass term.

- **Klein-Gordon operator:**

$$P(x, D_x) = |g|^{-\frac{1}{2}} (\partial_\mu + iA_\mu) |g|^{\frac{1}{2}} g^{\mu\nu} (\partial_\nu + iA_\nu) + m^2,$$

where $|g| = \det[g_{\mu\nu}]$, $[g^{\mu\nu}] := [g_{\mu\nu}]^{-1}$.

- $P(x, D_x)$ admits unique **advanced/retarded fundamental solutions** E_\pm solving:

$$P(x, D_x) \circ E_\pm = \mathbb{1},$$

$$\text{supp} E_\pm f \subset J^\pm(\text{supp} f), \quad f \in C_0^\infty(M),$$

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Symplectic space of solutions

Let $\text{Sol}_{\text{sc}}(P) \subset C^\infty(M)$ be the space of smooth **space-compact** solutions of

$$\text{(KG)} \quad P(x, D_x)\phi = 0.$$

- $E = E_+ - E_-$, called the **commutator function**.
- One has $\text{Sol}_{\text{sc}}(P) = EC_0^\infty(M)$.

$(\text{Sol}_{\text{sc}}(P), E)$ is our complex symplectic space. We look for Λ s.t.

$$\Lambda \geq 0 \text{ and } \Lambda \geq iE \quad + \text{Hadamard condition}.$$

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Symplectic space of Cauchy data

Fix a Cauchy hypersurface Σ and set

$$\begin{aligned} \rho : \text{Sol}_{\text{sc}}(P) &\rightarrow C_0^\infty(\Sigma) \oplus C_0^\infty(\Sigma) \\ \phi &\mapsto (\phi|_\Sigma, i^{-1}n^\mu(\nabla_\mu + iA_\mu)\phi|_\Sigma) =: (\rho_0\phi, \rho_1\phi). \end{aligned}$$

Denote by σ the canonical symplectic form on $C_0^\infty(\Sigma) \oplus C_0^\infty(\Sigma)$:

$$\sigma(f, g) := -i \int_\Sigma (\bar{f}_0 g_1 + \bar{f}_1 g_0) ds, \quad f, g \in C_0^\infty(\Sigma) \oplus C_0^\infty(\Sigma),$$

- One has $E(u_1, u_2) = \sigma(\rho \circ Eu_1, \rho \circ Eu_2)$ for $u_1, u_2 \in C_0^\infty(M)$.
- Hence $(C_0^\infty(M), E)$ is isomorphic to $(C_0^\infty(\Sigma) \otimes \mathbb{C}^2, \sigma)$.

We can thus work with $(C_0^\infty(\Sigma) \otimes \mathbb{C}^2, \sigma)$ and look for λ s.t.

$$\lambda \geq 0 \text{ and } \lambda \geq i\sigma \quad \text{+Hadamard condition}.$$

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Pseudo-differential operators

If $u \in \mathcal{D}'(\mathbb{R}^n)$, $WF(u)$ can be defined using **pseudo-differential operators**. Denote by $S^m(\mathbb{R}^{2d})$, $m \in \mathbb{R}$ the **symbol class**

$$a \in S^m(\mathbb{R}^{2d}) \text{ if } \partial_x^\alpha \partial_k^\beta a(x, k) \in O((1 + |k|^2)^{\frac{m-|\beta|}{2}}), \quad \alpha, \beta \in \mathbb{N}^d.$$

The **Weyl quantization** of a is the operator

$$a(x, D_x)u(x) := (2\pi)^{-d} \iint e^{i(x-y)k} a\left(\frac{x+y}{2}, k\right) u(y) dy dk.$$

$\Psi^m(\mathbb{R}^d) := \text{Op}^w(S^m(\mathbb{R}^{2d})) =$ pseudo-differential operators.

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Basic property: $a(x, D_x) \in \Psi^m(\mathbb{R}^d)$ maps $H^s(\mathbb{R}^d) \rightarrow H^{s-m}(\mathbb{R}^d)$.
 In particular $a(x, D_x) \in \Psi^{-\infty}(\mathbb{R}^d)$ maps to smooth functions. The
 characteristic set of a

$$\text{Char}(a) := \{(x, k) \in \mathbb{R}^d \times (\mathbb{R}^d \setminus \{0\}) : a_m(x, k) = 0\}.$$

$\rightarrow a$ is elliptic iff $\text{Char}(a) = \emptyset$. Then there exists $a^{(-1)} \in \Psi^{-m}(\mathbb{R}^d)$
 such that $a^{(-1)}a = \mathbb{1} \bmod \Psi^{-\infty}$.

Definition

$(x, k) \notin WF(u)$ (the wave front set) iff there exists $\chi \in C_0^\infty$ and
 $a \in S^0(\mathbb{R}^{2d})$ with $\chi(x) \neq 0$, $(x, k) \notin \text{Char}(a)$ and

$$a(x, D_x)\chi u \in \mathcal{S}(\mathbb{R}^d).$$

\rightarrow provides criteria for existence of $u \cdot v$, $u|_X$.

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 $a \in S^0(\mathbb{R}^{2d})$ with $\chi(x) \neq 0$, $(x, k) \notin \text{Char}(a)$ and

$$a(x, D_x)\chi u \in \mathcal{S}(\mathbb{R}^d).$$

\rightarrow provides criteria for existence of $u \cdot v$, $u|_X$.

Basic property: $a(x, D_x) \in \Psi^m(\mathbb{R}^d)$ maps $H^s(\mathbb{R}^d) \rightarrow H^{s-m}(\mathbb{R}^d)$.
 In particular $a(x, D_x) \in \Psi^{-\infty}(\mathbb{R}^d)$ maps to smooth functions. The
characteristic set of a

$$\text{Char}(a) := \{(x, k) \in \mathbb{R}^d \times (\mathbb{R}^d \setminus \{0\}) : a_m(x, k) = 0\}.$$

$\rightarrow a$ is **elliptic** iff $\text{Char}(a) = \emptyset$. Then there exists $a^{(-1)} \in \Psi^{-m}(\mathbb{R}^d)$
 such that $a^{(-1)}a = \mathbb{1} \bmod \Psi^{-\infty}$.

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Hadamard states

- Denote $p(x, \xi) = g^{\mu\nu}(x)\xi_\mu\xi_\nu$ the principal symbol of $P(x, D_x)$,
- $\mathcal{N} = p^{-1}(\{0\})$ *energy surface*,
 $\mathcal{N}_\pm = \{(x, \xi) \in \mathcal{N} : \xi \in V_\pm^*(x)\}$, *positive/negative energy surfaces*, $\mathcal{N} = \mathcal{N}_+ \cup \mathcal{N}_-$,
- For $X_i = (x_i, \xi_i)$ write $X_1 \sim X_2$ if $X_1, X_2 \in \mathcal{N}$, X_1, X_2 on the same Hamiltonian curve of p .

Definition ([Radzikowski '96])

Λ satisfies the *Hadamard condition* iff

$$WF(\Lambda)' \subset \{(X_1, X_2), X_1 \sim X_2 : X_1 \in \mathcal{N}^+\}.$$

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Examples of Hadamard states

- On general space-times + arbitrary smooth potentials, Hadamard states exist [Fulling, Narcowich, Wald '80].
- If (M, g) is asymptotically flat at null infinity, distinguished Hadamard states [Dappiaggi, Moretti, Pinamonti '09]
- The 'Unruh state' on Schwarzschild space-time [Dappiaggi, Moretti, Pinamonti '11].
- If (M, g) has a compact Cauchy surface, construction by pseudo-differential methods [Junker '97].
- In the stationary case, ground states (and KMS states) [Sahlmann, Verch '97] + their generalizations for overcritical potentials [W. '12].

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Can one use a Ψ DO-based construction for non-compact Cauchy surfaces? Can one construct *all* Hadamard states?

Model Klein-Gordon equation

We consider first the following **Model case**:

- $M = \mathbb{R}^{1+d}$, $x = (t, \mathbf{x}) \in \mathbb{R}^{1+d}$

$$a(t, \mathbf{x}, D_{\mathbf{x}}) = - \sum_{j,k=1}^d \partial_{x^j} a^{jk}(x) \partial_{x^k} + \sum_{j=1}^d b^j(x) \partial_{x^j} - \partial_{x^j} \bar{b}^j(x) + m(x),$$

- $[a^{jk}]$ uniformly elliptic, a^{jk} , b^j , m uniformly bounded with all derivatives in \mathbf{x} , in bounded time intervals.
- We consider $P(x, D_x) = \partial_t^2 + a(t, \mathbf{x}, D_{\mathbf{x}})$.
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Parametrix for the Cauchy problem

Consider the Cauchy problem for P :

$$(C) \quad \left\{ \begin{array}{l} \partial_t^2 \phi(t) + a(t, x, D_x) \phi(t) = 0, \\ \phi(0) = f_0, \\ i^{-1} \partial_t \phi(0) = f_1, \end{array} \right.$$

- essential step to construct Hadamard states for P :
characterize solutions with wavefront set in \mathcal{N}^\pm in terms of their Cauchy data.
- method: construct a sufficiently explicit *parametrix* for the Cauchy problem (C).
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In the static case, (C) is solved by:

$$U(t)f = \frac{1}{2}e^{ita^{1/2}} \left(f_0 + a^{-1/2}f_1 \right) + \frac{1}{2}e^{-ita^{1/2}} \left(f_0 - a^{-1/2}f_1 \right).$$

Theorem

There exist $b(t) \in C^\infty(\mathbb{R}, \Psi^1(\mathbb{R}^d))$, $d \in \Psi^0(\mathbb{R}^d)$, $r \in \Psi^{-1}(\mathbb{R}^d)$ (unique mod $\Psi^{-\infty}(\mathbb{R}^d)$), such that if

$$\begin{aligned} U_+(t)f &= \text{Texp}(i \int_0^t b(s)ds) d (f_0 + r f_1), \\ U_-(t)f &= \text{Texp}(-i \int_0^t b^*(s)ds) d^* (f_0 - r^* f_1) \end{aligned}$$

then $U(t)f := (U_+(t) + U_-(t))f$ solves the Cauchy problem (C) up to C^∞ .

Above, $b(t) = a^{1/2}(t) + \frac{1}{\sqrt{2}}(a^{-1/2}(t))i\partial_t a^{1/2}(t) \bmod \Psi^{-\infty}$.

Moreover, $WF(U_\pm(t)f) \subset \mathcal{N}_\pm$.

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In the proofs, we use:

- If $m \geq 0$, $a \in \Psi^m(\mathbb{R}^d)$ is elliptic in $\Psi^m(\mathbb{R}^d)$ and symmetric:
 - a is selfadjoint on $H^m(\mathbb{R}^d)$;
 - if $f \in S^p(\mathbb{R})$, $p \in \mathbb{R}$, then $f(a) \in \Psi^{mp}(\mathbb{R}^d)$ [Bony '96].
- Pseudo-differential operators act $a : \mathcal{E}' \rightarrow \mathcal{D}'$ — problems with compositions!
 - Instead consider $\mathcal{H} := \bigcap_m H^m$ and $a : \mathcal{H}' \rightarrow \mathcal{H}'$.
- Transport equations:
 - Fix $a \in \Psi^0(\mathbb{R}^d)$. Equations of the form

$$b = a + F(b) \bmod \Psi^{-\infty}$$

where $F : \Psi^m(\mathbb{R}^d) \rightarrow \Psi^{m-1}(\mathbb{R}^d)$, can be solved uniquely mod $\Psi^{-\infty}$.

- Egorov's theorem:
 - Gives the wave front set of $\text{Texp}(i \int_0^t b(s) ds)u$, $u \in \mathcal{H}'(\mathbb{R}^d)$ for $b \in \Psi^1(\mathbb{R}^d)$.

We obtained $WF(U_{\pm}(t)f) \subset \mathcal{N}_{\pm}$. First consequence:

Define **finite energy solutions**

$$\text{Sol}_E(P) := \{\phi \in C^0(\mathbb{R}, H^1(\mathbb{R}^d)) \cap C^1(\mathbb{R}, L^2(\mathbb{R}^d)) : P\phi = 0\},$$

and **positive/negative wavefront set solutions**

$$\text{Sol}_E^+(P, r) := \{\phi \in \text{Sol}_E(P) : \phi(0) = -i r \partial_t \phi(0)\},$$

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Theorem

One has $\pm i\sigma > 0$ on $\text{Sol}_E^{\pm}(P, r)$, and the spaces $\text{Sol}_E^{\pm}(P, r)$ are symplectically orthogonal.

This decomposition depends on the choice of r . There is no distinguished one, but we can restrict to the set:

$$\mathcal{R} := \{r \in \Psi^{-1} : r = b^{*(-1)} + \Psi^{-\infty}, \ c a^{-1/2} \leq r + r^* \leq C a^{-1/2}\}.$$

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Construction of Hadamard states

Once having fixed $r \in \mathcal{R}$ (not unique in the construction!), set

$$T(r) := (r + r^*)^{-\frac{1}{2}} \begin{pmatrix} \mathbb{1} & r \\ \mathbb{1} & -r^* \end{pmatrix}.$$

It **diagonalizes the symplectic form**:

$$\tilde{\sigma} := (T(r)^{-1})^* \circ \sigma \circ T(r)^{-1} = \begin{pmatrix} -i\mathbb{1} & 0 \\ 0 & i\mathbb{1} \end{pmatrix}.$$

If λ is a form on $C_0^\infty(\mathbb{R}^d) \otimes \mathbb{C}^2$ (Cauchy data), set

$$\tilde{\lambda} := (T(r)^{-1})^* \circ \lambda \circ T(r)^{-1} =: \begin{pmatrix} \tilde{\lambda}_{++} & \tilde{\lambda}_{+-} \\ \tilde{\lambda}_{-+} & \tilde{\lambda}_{--} \end{pmatrix}$$

Hadamard states with Ψ DO two-point function

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Theorem

Let λ be a form with Ψ DO entries. Then Λ satisfies the *Hadamard condition* iff:

$$\tilde{\lambda}_{+-}, \tilde{\lambda}_{-+}, \tilde{\lambda}_{--} \in \Psi^{-\infty}(\mathbb{R}^d).$$

To get *states*, we need additionally $\tilde{\lambda} \geq 0$, $\tilde{\lambda} \geq i\tilde{\sigma}$.

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Pure Hadamard states

Theorem

Let λ be a form with Ψ DO entries. It defines a **Hadamard and pure** state iff there exists $c_{-\infty} \in \Psi^{-\infty}(\mathbb{R}^d)$ s.t.

$$\tilde{\lambda}_{++} = \mathbb{1} + c_{-\infty} c_{-\infty}^*,$$

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$$\tilde{\lambda}_{+-} = \tilde{\lambda}_{-+}^* = c_{-\infty} (\mathbb{1} + c_{-\infty}^* c_{-\infty})^{1/2}$$

Choose $c_{-\infty} = 0$ above. The corresponding two-point function is:

$$\lambda(r) = \begin{pmatrix} (r + r^*)^{-1} & -(r + r^*)^{-1} r^* \\ -r(r + r^*)^{-1} & r(r + r^*)^{-1} r^* \end{pmatrix}$$

and defines the **canonical Hadamard state** (associated to r).

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Let $\mathcal{Y} = \mathcal{H}(\mathbb{R}^d) \otimes \mathbb{C}^2$ and recall $U(\mathcal{Y}, i\sigma)$ consists of transformations which preserve σ .

One can characterize the elements $U(\mathcal{Y}, i\sigma)$ which preserve the **Hadamard condition**. There is a remarkable large subgroup:

$$U_{-\infty}(\mathcal{Y}, i\sigma) := \{u \in U(\mathcal{Y}, i\sigma) : u - \mathbb{1} \in \Psi^{-\infty}(\mathbb{R}^d) \otimes M_2(\mathbb{C})\}.$$

Theorem

Define a group \mathcal{G} by

$$\mathcal{G} = \{(g, f) : g - \mathbb{1}, f \in \Psi^{-\infty}, g, g^* \in GL(L^2(\mathbb{R}^d)), f = -f^*\},$$

$$\text{Id} = (\mathbb{1}, 0), \quad G_2 G_1 = (g_2 g_1, (g_2^*)^{-1} f_1 g_2^{-1} + f_2) \text{ for } G_i = (g_i, f_i).$$

There is a **group homomorphism** $\mathcal{G} \ni G \mapsto u_G \in U_{-\infty}(\mathcal{Y}, i\sigma)$ and a **transitive group action** $\mathcal{G} \ni G \mapsto \alpha_G(r) \in \mathcal{R}$ such that

$$\lambda(\alpha_G(r)) = u_G^* \lambda(r) u_G, \quad \forall r \in \mathcal{R}, G \in \mathcal{G}.$$

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There is a **group homomorphism** $\mathcal{G} \ni G \mapsto u_G \in U_{-\infty}(\mathcal{Y}, i\sigma)$ and a **transitive group action** $\mathcal{G} \ni G \mapsto \alpha_G(r) \in \mathcal{R}$ such that

$$\lambda(\alpha_G(r)) = u_G^* \lambda(r) u_G, \quad \forall r \in \mathcal{R}, G \in \mathcal{G}.$$

Let $\mathcal{Y} = \mathcal{H}(\mathbb{R}^d) \otimes \mathbb{C}^2$ and recall $U(\mathcal{Y}, i\sigma)$ consists of transformations which preserve σ .

One can characterize the elements $U(\mathcal{Y}, i\sigma)$ which preserve the **Hadamard condition**. There is a remarkable large subgroup:

$$U_{-\infty}(\mathcal{Y}, i\sigma) := \{u \in U(\mathcal{Y}, i\sigma) : u - \mathbb{1} \in \Psi^{-\infty}(\mathbb{R}^d) \otimes M_2(\mathbb{C})\}.$$

Theorem

Define a group \mathcal{G} by

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Static case

Consider the **static** case $a(t, x, D_x) = a(x, D_x)$ independent on t . Then one can define the **ground state** and **thermal state**. In this case — preferred choice $r = a^{-1/2} \in \mathcal{R}$.

- **ground state:**

$$\tilde{\lambda} = \begin{pmatrix} 0 & 0 \\ 0 & \mathbb{1} \end{pmatrix},$$

- **thermal state:**

$$\tilde{\lambda}_\beta = \begin{pmatrix} e^{-\beta a^{1/2}} (\mathbb{1} - e^{-\beta a^{1/2}})^{-1} & 0 \\ 0 & (\mathbb{1} - e^{-\beta a^{1/2}})^{-1} \end{pmatrix}.$$

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Arbitrary globally hyperbolic space-times

- $M = \mathbb{R} \times \Sigma$.
- Choose an open set Ω in M , and open, pre-compact sets U_n , \tilde{U}_n in Σ such that:
 - (i) $U_n \subseteq \tilde{U}_n$, $\bigcup_n U_n = \Sigma$,
 - (ii) \tilde{U}_n are coordinate charts for Σ ,
 - (iii) $y \in \Omega$, $J(y) \cap U_n \neq \emptyset \Rightarrow y \in]-\delta_n, \delta_n[\times \tilde{U}_n =: \tilde{\Omega}_n$,
 - (iv) Ω is a neighborhood of Σ in M .
- Fix a partition of unity $1 = \sum_n \chi_n^2$ of Σ , with $\chi_n \in C_0^\infty(U_n)$.

We have $\sigma = \sum_n \chi_n^* \sigma \chi_n$. Now we set $\lambda := \sum_{n \in \mathbb{N}} \chi_n^* \lambda_n \chi_n$, where λ_n are obtained by transporting Hadamard states constructed on $]-\delta_n, \delta_n[\times V_n \subset \mathbb{R} \times \mathbb{R}^d$ along coordinate maps $\varphi_n : \tilde{U}_n \rightarrow V_n$.

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Outlook

- Construction of Hadamard states on **arbitrary** globally-hyperbolic space-times.
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↔ useful to investigate their local properties;
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