

# Lecture 13: Proof of Deligne-Illusie

We work over  $\mathbb{F}_p$  (makes notation easier)

$$X \text{ sm}/\mathbb{F}_p \quad , \quad \Omega_X^j := \Omega_{X/\mathbb{F}_p}^j$$

in this case  $X = X^{(p)}$  (as  $\text{id} = \text{Frob} : \text{Spec } \mathbb{F}_p \rightarrow \text{Spec } \mathbb{F}_p$ )

and  $F : X \rightarrow X^{(p)} = X$  is the absolute Frob.

(i.e. = id on top space and  $F^* : \mathcal{O}_X \rightarrow \mathcal{O}_X, u \mapsto u^p$ )

Recall we have an  $\mathcal{O}_X$ -linear isomorphism

$$C^{-1} : \Omega_X^j \xrightarrow{\cong} \mathcal{H}^j(\mathbb{F}_* \Omega_X^j)$$

satisfying  $C^{-1}(d\alpha\beta) = C^{-1}(d\alpha) + C^{-1}(d\beta)$

$$\bullet C^{-1}(a db) = a^p b^{p-1} d b$$

( $a, b \in \mathcal{O}_X$ )

$$[\Leftrightarrow C^{-1}(a d b c) = a^p d b c]$$

( $a \in \mathcal{O}_X, u \in \mathcal{O}_X^*$ )

$$\bullet \varphi = 0 \rightarrow C^{-1} = F^*$$

We want to prove

Thm (D-I) Assume  $X$  has a sm lift  $\tilde{X}/\mathbb{Z}/p^2\mathbb{Z}$

$\Rightarrow \exists$  can isom in  $D(\mathcal{O}_X)$

$$\varphi_{\tilde{X}} : \bigoplus_{j < p} \Omega_X^j[-j] \xrightarrow{\sim} \tau_{\leq p-1} F_X \Omega_X^\bullet$$

s.t.  $\mathcal{H}^j(\varphi_{\tilde{X}}) = C^{-1}, \quad j \leq p-1$

Proof:

0) Thm  $\Rightarrow$  H-to-dR sp seq alg (in case  $X$  lifts,  $\mathbb{W}_2^1$ ,  $\dim X < p$ )

1)  $\varphi_{\tilde{X}}$  will not be a map of complexes

in fact we will construct

$$\bigoplus_{j < p} \Omega_X^j[-j] \longrightarrow \tau_{\leq p-1} \mathcal{L} \xleftarrow[\varphi_{is}]{\sim} \tau_{\leq p-1} F_X \Omega_X^\bullet$$

2) it suffices to construct  $\varphi_{\tilde{X}}$  with

$$\mathcal{H}^j(\varphi_{\tilde{X}}) = C^{-1}, \text{ then it is a } \varphi_{is}$$

by the properties of  $C^{-1}$

3) Recall

$$\tau_{\leq p-1} \Omega_X^\bullet = \mathcal{O}_X \xrightarrow{d} \Omega_X^1 \rightarrow \dots \rightarrow \Omega_X^{p-2} \rightarrow \underbrace{\tau \Omega_X^{p-1}}_{\text{Ker } d} \rightarrow 0$$

define  $\varphi_{\tilde{x}}^0 := F^* : \mathcal{O}_X \longrightarrow \mathbb{Z} \Omega_X^0 = \ker(d: F^* \mathcal{O}_X \rightarrow F^* \Omega_X^1)$   
 $\alpha \longmapsto d\alpha$

(indep of  $\tilde{x}$ )

Assume we defined

$$\varphi_{\tilde{x}}^1 : \begin{array}{ccc} \Omega_X^1 & \longrightarrow & \mathbb{Z} \Omega_X^1 \\ \uparrow & & \uparrow d \\ 0 & \longrightarrow & F^* \mathcal{O}_X \end{array} \quad \Bigg\| \quad (*)$$

with  $\mathcal{H}^1(\varphi_{\tilde{x}}^1) = C^{-1}$

then define for  $1 \leq j < p$  by

$$\varphi_{\tilde{x}}^j : \Omega_X^j[-j] \longrightarrow (\Omega_X^1)^{\otimes j}[-j] \xrightarrow{[\varphi_{\tilde{x}}^1]^{\otimes j}} (\tau_{\leq 1} F_* \Omega^j)^{\otimes j} \xrightarrow{\sim} \tau_{\leq j} (F_* \Omega^j)$$

$$\downarrow$$

$$\tau_{\leq p-1} (F_* \Omega^j)$$

$$d_1, \dots, d_j \longmapsto \frac{1}{j!} \sum_{\sigma \in S_j} \text{sgn}(\sigma) (d_{\sigma(1)} \otimes \dots \otimes d_{\sigma(j)})$$

$$d_i \in \Omega_X^1$$

multiplicativity of  $C^{-1} \Rightarrow \mathcal{H}^j(\varphi_{\tilde{x}}^j) = C^{-1}$

$\rightarrow$  suff to construct  $\varphi_{\tilde{x}}^1$  as in (\*)

## Excursion Frobenius lifts

Let  $\tilde{A}$  be a flat  $\mathbb{Z}/p^2\mathbb{Z}$ -alg

$$\text{and } A = \tilde{A}/p\tilde{A}$$

$\Rightarrow$  on  $A$  have Frob

$$F: A \rightarrow A, \quad a \mapsto a^p$$

Frobenius lift to  $\tilde{A}$  is a ring hom

$$\tilde{F}: \tilde{A} \rightarrow \tilde{A} \quad \text{s.t.} \quad \tilde{F}(a) = \tilde{a}^p \pmod{pA}$$

1) assume  $\tilde{A} = \mathbb{Z}/p^2\mathbb{Z}[x_1, \dots, x_n]$

$\Rightarrow \tilde{F}: \tilde{A} \rightarrow \tilde{A}$ ,  $x_i \mapsto x_i^p$  is a Frobenius lift  
[also  $x_i \mapsto x_i^p + p g_i$  any  $g_i$ ]

2) Assume  $\tilde{B}$  is standard étale over  $\tilde{A}$ , i.e.

$$\tilde{B} = \frac{\tilde{A}[T]}{(f)} \quad \text{with } f' = \frac{df}{dT} \in \tilde{B}^\times$$

assume we have  $\tilde{F}_A$  a Frobenius lift on  $\tilde{A}$

then to give  $\tilde{F}_B: \tilde{B} \rightarrow \tilde{B}$  Frobenius lift over  $\tilde{F}_A$

is eq to find  $u(T) \in \tilde{A}[T]$  with

$$f_1(T^p + pu(T)) \in (f) \quad \text{where } f_1 := \tilde{F}_A(f)$$

Then define  $\tilde{F}_B(g(T)) = g_1(T^p + pu(T))$

Have  $f_1(T^p + \rho u(T)) \stackrel{\rho^2=0 \text{ in } \tilde{B}}{=} f_1(T^p) + \rho u(T) f_1'(T^p)$ ,  $f_1'(T^p) \in \tilde{B}^*$   
 $\stackrel{=}{=} (f_1'(T))^p + \rho \ell$

and  $f_1(T^p) = f_1(T)^p + \rho \ell$ , some  $\ell \in A[T]$

$\rightarrow$  set  $u = -\frac{\ell}{f_1'(T^p)} \in \tilde{B} \rightarrow \text{OK}$

we find.

Lemma.  $X \text{ sm}/\mathbb{F}_p$ ,  $\tilde{X}/\mathbb{Z}/p^2$  sm lift

$\Rightarrow \exists$  open affine covering  $\tilde{X} = \bigcup_{i=1}^r \tilde{U}_i$

s.t.  $\exists \tilde{f}_i : \tilde{U}_i \rightarrow \tilde{U}_i$  lift of

$f : U_i \rightarrow U_i = \tilde{U}_i \otimes_{\mathbb{Z}/p^2} \mathbb{F}_p$

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a) Construction of  $\mathcal{Y}_{\tilde{X}}^{-1}$  if

$\exists \tilde{F} : \tilde{X} \rightarrow \tilde{X}$  Frobenius lift.

Have  $\underline{p} : \mathcal{O}_X \rightarrow \mathcal{O}_{\tilde{X}}$ ,  $a \mapsto p \tilde{a}$

is inj (as  $\tilde{X}$  flat  $(\mathbb{Z}/p\mathbb{Z})$ )

and  $\forall a \in \mathcal{O}_{\tilde{X}} \exists \mu(a) \in \mathcal{O}_X$  s.t.

$$\tilde{F}^*(a) = a^p + \underline{p} \mu(a)$$

$$\Rightarrow \tilde{F}^* : \Omega_{\tilde{X}}^1 \longrightarrow \underline{p}(\Omega_{\tilde{X}}^1)$$

$$db \longmapsto d(b^p + \underline{p} \mu(a)) = \underline{p} (b^{p-1} db + d\mu(a))$$

$$\begin{array}{ccc} \Omega_{\tilde{X}}^1 & \xrightarrow{\tilde{F}^*} & \Omega_{\tilde{X}}^1 \\ \downarrow & & \uparrow \underline{p} \\ \Omega_X^1 & \xrightarrow{f} & \Omega_X^1 \end{array}$$

with  $f(\alpha db) = a^{p-1} (b^{p-1} db + d\mu(a))$

$$\Rightarrow \bullet f(\alpha) \equiv c^{-1}(\alpha) \text{ mod } d(\mathcal{O}_X)$$

$$\bullet d(f) = 0$$

$$\Rightarrow \text{get morph. } f : \begin{array}{ccc} \Omega_X^1 & \longrightarrow & \Omega_X^1 \\ \uparrow & & \uparrow \\ \mathcal{O}_X & \longrightarrow & \mathcal{O}_X \end{array}$$

b) Assume  $\tilde{F}_1, \tilde{F}_2 : \tilde{X} \rightarrow \tilde{X}$  are two  $F$ -tilts

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Claim:  $\exists \theta : \mathcal{O}_X \rightarrow F_* \mathcal{O}_X$  derivation

$$\text{s.t. } (\tilde{F}_2^* - \tilde{F}_1^*)(a) = \underline{p} \theta(\bar{a}), \quad a \in \mathcal{O}_{\tilde{X}}$$

indeed:

$$\tilde{F}_i^*(a) = a^p + \underline{p} \mu_i(a)$$

with  $\mu_i : \mathcal{O}_{\tilde{X}} \rightarrow \mathcal{O}_X$  map

$$\Rightarrow \tilde{F}_2^*(a) - \tilde{F}_1^*(a) = \underline{p} (\mu_2(a) - \mu_1(a))$$

$$\text{and } \tilde{F}_2^*(pb) - \tilde{F}_1^*(pb) = 0$$

$$\Rightarrow \exists \theta : \mathcal{O}_X \rightarrow \mathcal{O}_X \text{ s.t. } \theta(a) = \mu_2(\bar{a}) - \mu_1(\bar{a})$$

$$\text{have } \theta(a+b) = \theta(a) + \theta(b)$$

$$\begin{aligned} \underline{p} \theta(ab) &= \tilde{F}_2^*(a) (\tilde{F}_2^*(b) - \tilde{F}_1^*(b)) + \tilde{F}_1^*(b) (\tilde{F}_2^*(a) - \tilde{F}_1^*(a)) \\ &= \underline{p} (F^*(a) \theta(b) + F^*(b) \theta(a)) \end{aligned}$$

$$\left[ \Rightarrow \theta(ab) = F^*(a) \theta(b) + F^*(b) \theta(a) \quad \text{(Leibniz rule)} \right]$$

$\Rightarrow$  get  $\mathcal{O}_X$ -linear map

$$\theta : \Omega_X^1 \rightarrow F_* \mathcal{O}_X, \quad a \wedge b \mapsto a^p \theta(b)$$

let  $f_i = \underline{p}^{-1} \tilde{F}_i^* : \Omega_X^1 \longrightarrow \Omega_{\tilde{X}}^1$  as in a)

$$adb \longmapsto a^p (b^{p-1} db + d\mu_i(\tilde{b}))$$

then

$$f_2 - f_1 = d \circ \mathcal{H}_{12}$$

$$(\mathcal{H} = \mathcal{H}_{12})$$

if  $\tilde{F}_i$   $i=1,2,3$  are lifts

$$\Rightarrow \mathcal{H}_{13} = \mathcal{H}_{12} + \mathcal{H}_{23}$$

(c) The map  $\varphi_{\tilde{X}}^1$  in general :

$$\tilde{X} = \bigcup_i \tilde{U}_i \quad \text{with} \quad \tilde{F}_i : \tilde{U}_i \longrightarrow U_i \quad \text{F-lift.}$$

$$U_i := \tilde{U}_i \otimes_{\mathbb{Z}/p^2} \mathbb{F}_p$$

consider  $(\text{ann } X)$

$$\begin{array}{c} \mathcal{E}^0 = \bigoplus_i \mathcal{O}_{U_i} \\ \delta^0 \downarrow \\ \mathcal{E}^1 = \bigoplus_i \Omega_{U_i}^1 \oplus \bigoplus_{i,j} \mathcal{O}_{U_{ij}} \\ \delta^1 \downarrow \\ \mathcal{E}^2 = \bigoplus_i \Omega_{U_i}^2 \oplus \bigoplus_{i,j} \Omega_{U_{ij}}^1 \oplus \bigoplus_{i,j,k} \mathcal{O}_{U_{ijk}} \end{array} \quad U_{ij} = U_i \cap U_j$$

(local cx of  $\Omega_X^i$ )



with

$$J^0(\alpha_i) = \left( (d\alpha_i)_i, (\alpha_j|u_{ij} - \alpha_i|u_{ij})_{i,j} \right)$$

$$J^1(\alpha_i, b_{ij}) = \left( (d\alpha_i)_i, (\alpha_j|u_{ij} - \alpha_i|u_{ij} + db_{ij})_{i,j}, (b_{j_2} - b_{i_2} + b_{i_1})_{i,j} \right)$$

Have

$$\begin{array}{ccc} \mathbb{Z}\Omega^1 & \rightarrow & \mathbb{Z}\mathcal{E}^1 \\ \uparrow & & \uparrow \\ \mathcal{O}_X & \rightarrow & \mathcal{E}^0 \end{array} \quad \begin{array}{ccc} d\alpha & \longmapsto & (d\alpha)|_{u_i}, 0 \\ \uparrow & & \uparrow \\ \alpha & \longmapsto & (\alpha|u_i)_i \end{array}$$

induces maps  $\tau_\epsilon: \Omega^1 \xrightarrow{\sim} \mathcal{E}^1$

$\tilde{F}_i: \tilde{u}_i \rightarrow \tilde{v}_i$  yield  $[(v_i, a) + b]$

$$f_i: \Omega_{u_i}^1 \rightarrow \mathbb{Z}\Omega_{u_i}^1$$

$$g_{ij}: \Omega_{u_{ij}}^1 \rightarrow \mathbb{F}_* \mathcal{O}_{u_{ij}}$$

s.t.  $f_j - f_i = dg_{ij}$  and  $h_{ij} + g_{j_2} = g_{i_2}$  on  $u_{ij_2}$

$\Rightarrow$

$$\begin{array}{ccc} \Omega_x^{-1} & \longrightarrow & \mathbb{R}^n \\ \uparrow & & \uparrow \\ 0 & \longrightarrow & \mathbb{R}^n \end{array} \quad \xleftarrow{\sim} \quad \tau_{\underline{c}}(\mathbb{F}_x(\Omega'))$$

$$\alpha \longmapsto (f_i(u_i), f_{ij}(u_{ij}))$$

define

$$\varphi_x^{-1}: \Omega_x^{-1}[-1] \longrightarrow \tau_{\underline{c}}(\mathbb{F}_x \Omega')$$

in  $D(\mathcal{O}_x)$

$$\text{with } \mathcal{H}^1(\varphi_x^{-1}) = c^{-1} \quad \square$$

